

November 15, 2013

To whom it may concern

Takeshi Kawaji  
President CEO  
Invast Securities Co., Ltd.  
1-6-21 Nishi-shinbashi, Minato-ku, Tokyo, Japan  
JASDAQ code:8709

## Notice regarding extraordinary profit

This notice provides details of an extraordinary profit resulting from the sale of some of the Company's holdings of investment securities.

1. Details of extraordinary profit

Sale of investment securities (one listed stock)    ¥2,244 million

2. Outlook

The Company will recognize an extraordinary profit on the sale of the above investment securities in consolidated results for the third quarter of the fiscal year ending March 2014.

The earnings of the Company are greatly affected by change in the market environment, so the Company does not disclose earnings guidance.