

May 17, 2016

To whom it may concern,

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1-6-21 Nishishinbashi, Minato-ku, Tokyo, Japan 105-0003  
Invast Securities Co., Ltd.  
President and Representative Director Takeshi Kawaji  
(JASDAQ code: 8709)  
For more information, contact: Masato Futaesaku  
(Executive Director, CFO)  
(Tel.: 03-3595-4133)

## Notice concerning Organizational Changes and Personnel Transfers

At its meeting today, the Invast Securities Co., Ltd. Board of Directors reached a resolution to make the following organizational changes and personnel transfers.

1. Organizational changes (dated June 1, 2016) \* See the accompanying Organization Chart  
The Company will integrate the Customer Service Department into the Marketing Department.

### 2. Personnel transfers (dated June 1, 2016)

Name	New	Old
Shigeru Mitobe	Executive Vice President Manager of the Marketing Department/Systems Department	Executive Vice President Manager of the Compliance Department/Accounting Department/General Planning Department
Hironobu Mikata	Executive Managing Director and COO Manager of the Dealings Department/Administration Department/Compliance Department Director of the Dealings Department (concurrent post) Director of the Administration Department (concurrent post)	Executive Managing Director and COO Manager of the Dealings Department/Administration Department Director of the Dealings Department (concurrent post) Director of the Administration Department (concurrent post)
Go Tsurumi	Director and CMO Manager of the Marketing Department/Systems Department Director of the Marketing Department (concurrent post)	Director and CMO Manager of the Marketing Department/Customer Service Department/Systems Department Director of the Marketing Department (concurrent post)
Masato Futaesaku	Executive Director and CFO Manager of the Accounting Department/General Planning Department Director of the Accounting Department (concurrent post) Director of the General Planning Department (concurrent post)	Executive Director and CFO Manager of the Accounting Department Director of the Accounting Department (concurrent post)
Shinji Tamura	Executive Director and CCO Manager of the Compliance Department	Executive Director and CCO Manager of the Compliance Department/General Planning Department Director of the General Planning Department (concurrent post)

\* The abbreviations above correspond to the following official post names.

COO: Chief Operations Officer  
CMO: Chief Marketing Officer  
CFO: Chief Financial Officer  
CCO: Chief Compliance Officer

[Accompanying materials]

Organization Chart (dated June 1, 2016)

